

REFUND COMPLETED  
PCT NATIONAL DIVISION

JEP 8 REF 307



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Timothy G. RYAN, et al.

Serial No.: 10/501,212

Filed: July 12, 2004

For: METHOD OF MAKING A PATTERNED OPTICAL ELEMENT

BEST AVAILABLE COPY

TRANSMITTAL OF SMALL ENTITY  
DECLARATION AND REQUEST FOR REFUND

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REFUND COMPLETED  
PCT NATIONAL DIVISION

Attention: REFUND SECTION, ACCOUNTING  
DIVISION, OFFICE OF FINANCE

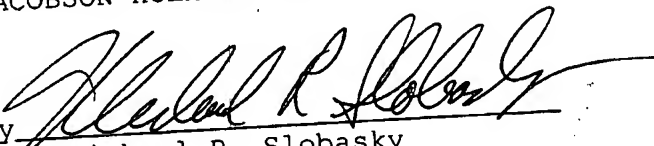
Sir:

Submitted herewith is a Small Entity Declaration, establishing small entity status for the captioned application. In view of Manual of Patent Examining Procedure (MPEP) 509.03, refund of the excess fee paid on July 12, 2004, is respectfully requested. Please credit a refund in the amount of \$597.00 to undersigned Counsel's Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

7/12/04 2613  
7/14/04 2617 1  
2615 8

Respectfully submitted,

JACOBSON HOLMAN PLLC

By   
Michael R. Slobasky  
Reg. No. 26,421

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket: P69951US0  
Date: October 12, 2004  
MRS:mch

02/09/2005 RUHTE1 00000001 10531212

01 FC:2613  
02 FC:2617  
03 FC:2615

469.00 OP  
65.00 OP  
72.00 OP

BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Applicants: Timothy G. RYAN, et al.

Serial No.: 10/501,212

Filed: July 12, 2004

For:

METHOD OF MAKING A PATTERNED OPTICAL ELEMENT

TRANSMITTAL OF SMALL ENTITY  
DECLARATION AND REQUEST FOR REFUND

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention:

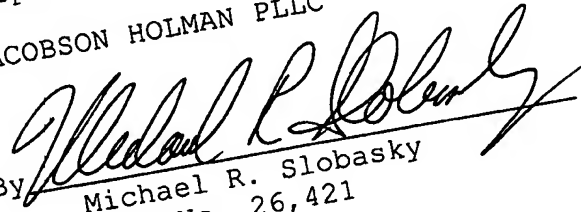
REFUND SECTION, ACCOUNTING  
DIVISION, OFFICE OF FINANCE

Sir:

Submitted herewith is a Small Entity Declaration, establishing small entity status for the captioned application. In view of Manual of Patent Examining Procedure (MPEP) 509.03, refund of the excess fee paid on July 12, 2004, is respectfully requested. Please credit a refund in the amount of \$597.00 to undersigned Counsel's Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By   
Michael R. Slobasky  
Reg. No. 26,421

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket: P69951US0  
Date: October 12, 2004  
MRS:mch



Law Offices of  
**JACOBSON HOLMAN**  
PROFESSIONAL LIMITED LIABILITY COMPANY  
THE JENIFER BUILDING  
400 SEVENTH STREET, N.W.  
WASHINGTON, DC 20004

**BEST AVAILABLE COPY**

Attny's Docket No. P69951US0

**SMALL ENTITY DECLARATION**  
[37 CFR 1.27(a)(1)-(3)]

Each undersigned declares that:

(1) ☒ the application attached hereto.

(2) ☐ U.S. Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_

(3) ☐ U.S. Patent No. \_\_\_\_\_, Issued \_\_\_\_\_

is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

(4) ☐ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.27(a)(1).

(5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(2); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.27(a).

(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that this organization qualifies as a nonprofit organization as defined in

(a) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(A)

(b) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(B)

(c) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(C) State law of \_\_\_\_\_

(d) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(D); and  
that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.27(a).

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

(a) ☒ no such person, concern or organization

(b) ☐ persons, concerns or organization listed below

[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8)	_____	_____	_____
	Typed Name of Inventor	Signature	Date
	_____	_____	_____
	Typed Name of Inventor	Signature	Date
	_____	_____	_____
	Typed Name of Inventor	Signature	Date
	_____	_____	_____
	Typed Name of Inventor	Signature	Date

(9) EPIGEM LIMITED

N Carter

Name of Small Business Concern or Nonprofit Organization

Typed Name

By

Signature

Date

Company Secretary

Title of Signatory

22nd July 2004